

to VALCAMBI SA

compliance@valcambi.com

## PRE - KYC SELF DECLARATION

### 1. General AML policies, practices and procedures

Our entity has clearly established written policies and procedures designed to combat money laundering and the financing of terrorism according to AML related local and international laws and these policies are applicable to all our branches, subsidiaries and operations  yes  no  n/a

### 2. Know Your Customer and due diligence procedures

Our entity has implemented processes for the identification of those customers on whose behalf we maintain or operate accounts or conduct transactions  yes  no  n/a

Our entity is regularly audited by independent AML auditors  yes  no  n/a

### 3. Responsible gold procedures

Our entity has established policies and procedures designed to meet and implement the following rules and guidelines:

- a. LBMA Responsible Gold Guidance  yes  no  n/a
- b. OECD Due diligence Guidance for Responsible Supply Chains of Minerals from Conflict Affected and High Risk Areas and Supplement on Gold  yes  no  n/a
- c. Dodd Frank Act Section 1502  yes  no  n/a

### 4. Attachments

Please find attached a copy the following documents:

- our AML/CFT policy and procedures  last two audited reports  responsible gold policy

### 5. Business Information

Nature of business \_\_\_\_\_

Company name \_\_\_\_\_

Address \_\_\_\_\_

E-mail /Web address \_\_\_\_\_

*We hereby confirm having given the correct information and we accept being registered in Valcambi 's database.*

Name/Ú[ • 33 } \_\_\_\_\_

Legal signature \_\_\_\_\_

Place and date \_\_\_\_\_

Company stamp \_\_\_\_\_