

## PRE - KYC SELF DECLARATION

### 1. General AML policies, practices and procedures

Our entity has clearly established written policies and procedures applicable to all our branches, subsidiaries and operations and related to local and international laws, covering:

a. Money laundering and financing of terrorism	yes	no	n/a
b. Whistleblowing	yes	no	n/a
c. Human right violations	yes	no	n/a
d. Mitigation of risks identified in our supply chain	yes	no	n/a

### 2. Know Your Customer and due diligence procedures

Our entity has implemented processes for the identification of those customers on whose behalf we maintain or operate accounts or conduct transactions

yes no n/a

Our entity is regularly audited by independent AML auditors

yes no n/a

### 3. Responsible gold procedures

Our entity has established policies and procedures designed to meet and implement the following rules and guidelines:

a. LBMA Responsible Gold Guidance	yes	no	n/a
b. OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict Affected and High Risk Areas and Supplement on Gold	yes	no	n/a
c. Dodd Frank Act Section 1502	yes	no	n/a

### 4. Attachments

Please find attached a copy of the following documents (reports: last 2 audited reports):

- |   |   |   |
|---|---|---|
| <input type="checkbox"/> Policies (see point 1.above) | <input type="checkbox"/> AML/CFT procedures | <input type="checkbox"/> Company organogram             |
| <input type="checkbox"/> AML reports                  | <input type="checkbox"/> LBMA reports       | <input type="checkbox"/> Dodd Frank Act Sec1502 reports |

### 5. Attachments

Company name \_\_\_\_\_

Trading name (if different from above) \_\_\_\_\_

Ultimate parent company name or beneficial owner \_\_\_\_\_

Nature of business \_\_\_\_\_

Company registration number \_\_\_\_\_

Registered business address \_\_\_\_\_

E-mail / Web address \_\_\_\_\_

**We confirm having given the correct information and we accept being registered in Valcambi's database.**

Name/Position \_\_\_\_\_ Legal signature \_\_\_\_\_

Place and date \_\_\_\_\_ Company stamp \_\_\_\_\_

Please return to [compliance@valcambi.com](mailto:compliance@valcambi.com)